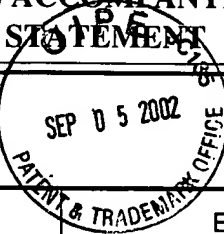


**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
UC97-156-8

In Re Application Of: OLAV SOLGAARD ET AL.



Serial No.
09/849,096

Filing Date
MAY 4, 2001

Examiner
LEE, JOHN D.

Group Art Unit
2874

Invention: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

JOHN P. O'BANION, REG. NO. 33,201
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Dated: September 5, 2002


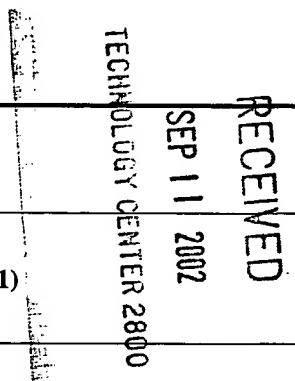

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Applicant(s): OLAV SOLGAARD ET AL.			UC97-157-8
Serial No. 09/849,096	Filing Date May 4, 2001	Examiner LEE, JOHN D.	Group Art Unit 2874
Invention: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH			
<div style="text-align: center;"></div> <div style="text-align: right;"></div> <p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 5px; margin: 10px 0;"><p>Statement under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (Page 1)</p><p style="text-align: center;"><i>(Identify type of correspondence)</i></p></div> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"><u>September 5, 2002</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p>_____ Jerry V. King <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p>_____  <i>(Signature of Person Mailing Correspondence)</i></p><p>_____ EL508300923US <i>("Express Mail" Mailing Label Number)</i></p></div>			
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